



Express Mail No.: ED 663 710 524 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 10/004,494 Confirmation No. 9399  
Applicant : Chang, Yung-Fu  
Filed : November 2, 2001  
Title : *Ehrlichia canis* genes and vaccines  
Art Unit : 1632  
Examiner : Montanari, David A.  
Docket No. : 1258-006 CIP  
Customer No. : 20874

**DECLARATION OF YUNG-FU CHANG, D.V.M., Ph.D.  
UNDER 37 C.F.R. § 1.132**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, YUNG-FU CHANG, D.V.M., Ph.D. do declare that:

1. I am the inventor of the invention disclosed and claimed in the above-identified patent application. A copy of my curriculum vitae is attached as Exhibit 1.

2. I am a Professor of Population Medicine & Diagnostic Sciences at the College of Veterinary Medicine of Cornell University. The Cornell Research Foundation, Inc., a not-for-profit affiliated corporation of Cornell University, is the assignee of the above-identified patent application.

3. I have read and understood the above-identified patent application and the Office Action dated December 12, 2006 ("Office Action") issued in connection therewith.

4. I understand that the above-identified application is a continuation-in-part application of prior application Serial No. 09/358,322, filed July 21, 1999 (now abandoned).

5. I understand that at pages 2-3 of the Office Action, claims 47 and 69 (Exhibit 2) were rejected under 35 U.S.C. 102(b), as being anticipated by Lewis *et al.* (1994, Sequence, organization, and evolution of the A+T region of *Drosophila melanogaster* mitochondrial DNA. Mol. Biol. Evol. 11: 523-538). The Examiner maintains that the DNA sequence disclosed in Lewis *et al.* shares stretches of homology that would encode some portion of a protein set forth in the sequences claimed in claims 47 and 69 (*i.e.*, SEQ ID NOs: 3, 5, 7, 9 or 11).

6. I am making this declaration to explain that using routine methods of analysis available in the art, I was unable to find any homology between the sequences disclosed Lewis *et al.* and any portion of the protein sequences claimed in claims 47 and 69.

7. Specifically, to determine whether there was any homology between the sequences disclosed Lewis *et al.* and any portion of the protein sequences claimed in claims 47 and 69, I used the National Center for Biotechnology Information (NCBI) GenBank database (<http://www.ncbi.nlm.nih.gov/>) to identify the sequence disclosed in Lewis *et al.* as bases 14917-19517 of sequence NC\_001709. See Exhibit 3, page 1 of the NCBI GenBank listing for sequence NC\_001709, wherein the Lewis *et al.* reference is listed as "REFERENCE 2 (bases 14917 to 19517)."

8. SEQ ID NOs 3, 5, 7, 9 and 11 of the present invention are deposited in the NCBI GenBank database as accession number AF219120, "Ehrlichia canis cytochrome C oxidase assembly protein, protease A, and protease B genes, complete cds; and unknown gene" (Exhibit 4).

9. On March 9, 2007, I used the Lewis *et al.* sequence of bases 14917 to 19517 to perform a BLAST search (<http://www.ncbi.nlm.nih.gov/BLAST/>), using a translated query versus protein database search (BLASTX 2.2.16, which version was designated as the "Mar-11-2007" version). I obtained the results shown in Exhibit 5, which indicate no homology between the queried sequence (translation of the Lewis *et al.* sequence) and any protein sequence in the NCBI protein database, including SEQ ID NOs 3, 5, 7, 9 and 11 (deposited as accession number AF219120).

10. According to my analysis, I conclude that the translation of the sequence disclosed in the Lewis *et al.* reference does not disclose the amino acid sequences SEQ ID NOs 3, 5, 7, 9 or 11 as claimed in claims 47 and 69, nor does it disclose homologous sequences. Moreover, an ordinarily skilled artisan would not understand Lewis *et al.* as disclosing a sequence of bases that, when translated, provide sequence(s) that share homology with the amino acid sequences claimed in claims 47 and 69 or homologous sequences, and such a person could not have combined the Lewis *et al.* reference's description of bases 14917 to 19517 of the *Drosophila melanogaster* mitochondrion genome with his own knowledge to make the claimed invention.

11. The results set forth in Exhibit 5, as described above, therefore rebut the rejection at pages 2-3 of the Office Action that the claimed invention is anticipated by Lewis *et al.*

12. I declare further that all statements made in this Declaration of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 4-12-2007

  
YUNG-FU CHANG, D.V.M., Ph.D.

Appl. No. 10/004,494

Declaration of Yung-Fu Chang, D.V.M., Ph.D. Under 37 C.F.R. §1.132

**Attachments:**

- Exhibit 1: Curriculum Vitae of Yung-Fu Chang, D.V.M., Ph.D.
- Exhibit 2: Listing of Claims as Presently Amended in the Accompanying Amendment
- Exhibit 3: NCBI GenBank Listing for Sequence NC\_001709
- Exhibit 4: NCBI GenBank Listing for Sequence AF219120
- Exhibit 5: BLASTX Analysis of Lewis *et al.* Sequence

# EXHIBIT 1

## Curriculum Vitae of Yung-Fu Chang, D.V.M., Ph.D.

### BIOGRAPHICAL SKETCH

NAME	POSITION TITLE
Yung-Fu Chang	Professor

EDUCATION/TRAINING *(Begin with baccalaureate or other initial professional education, such as nursing. Include postdoctoral training.)*

INSTITUTION AND LOCATION	DEGREE (if applicable)	YEAR(s)	FIELD OF STUDY
National Pintung University of Science and Technology	DVM	1974	Veterinary Medicine
University of Idaho	MS	1981	Immunology/Pathology
Texas A&M University, College Vet. Med.	PhD	1984	Microbiology
Texas A&M University, College Med.	Post-doc	1989	Molecular Biology/Genetics

### A. RESEARCH AND PROFESSIONAL EXPERIENCE:

- 1974-1979 Assistant Pathologist, Veterinary Pathology Division, Taiwan  
Provincial Research Institute for Animal Health, Taiwan
- 1984-1985 Research Associate, Medical Biochemistry and Genetics, College of Medicine, Texas A&M University, College Station, Texas
- 1986-1989 Assistant Research Scientist, Medical Biochemistry and Genetics, College of Medicine, Texas A&M University, College Station, Texas
- 1989-present Assistant/Associate/Full Professor, Department of Population Medicine & Diagnostic Sciences, College of Veterinary Medicine, Cornell University, Ithaca, New York.
- 2003(Jan. to July). Visiting Professor, Department of Infectious disease and Medicine, College of Medicine, Stanford University. Stanford, California.

**PROFESSIONAL SOCIETIES:** Society of Sigma Xi, American Society for Microbiology, The Society of Phi Zeta, American Association for the Advancement of Science.

**RESEARCH INTERESTS:** Molecular biology of infectious disease; DNA and recombinant subunit vaccine development; molecular basis of bacterial pathogenesis; immunopathology of infectious disease; DNA probes development; host defense mechanisms; Microarray for diagnosis & pathogenesis.

**B. Selected peer-reviewed publications (in chronological order):** (Selected papers out of

95)

- Chang, Y. F., R. Young., D. Post, and D. K. Struck.** 1987. Identification and characterization of the *Pasteurella haemolytica* leukotoxin. *Infect. Immun.* 55: 2348-2354.
- Chang, Y. F., R. Young, T. L. Moulds, and D. K. Struck.** 1989. Secretion of the *Pasteurella* leukotoxin by *E. coli*. *FEMS Microbiol. Lett.* 60: 169-174.
- Chang, Y. F., R. Young, and D. K. Struck.** 1989. Cloning and characterization of a hemolysin gene from *Actinobacillus (Haemophilus) pleuropneumoniae* DNA & Cell biology: 8: 635-647.
- Cruz, W.T., R. Young, Y. F. Chang, and D.K. Struck.** 1990. Deletion analysis resolves cell-binding and lytic domains of the *Pasteurella* leukotoxin. *Mol. Microbiol.* 4: 1933-1939.
- Chang, Y. F., R. Young, and D.K. Struck.** 1991. The *Actinobacillus pleuropneumoniae* determinant: unlinked *appCA* and *appBD* loci flanked by pseudogenes. *J. Bacteriol.* 173: 5151-5158.
- McWhinney, D.R., Y. F. Chang, R. Young, and D. K. Struck.** 1992 Separable domains define target cell specificities of the RTX hemolysin from *Actinobacillus pleuropneumoniae*. *J. Bacteriol.* 174: 291-297.
- Appel, M. J.G., S. Allan, R. H. Jacobson, T.L. Lauderdale, Y. F. Chang, S. J. Shin, J. Thomford, R. Todhunter, and B. A. Summers.** 1993. Experimental Lyme disease in dogs produced arthritis and persistent infection. *J. Infect. Dis.* 167: 651-664.
- Chang, Y. F., D. P. Ma, J. Shi, and M. M. Chengappa.** 1993. Molecular characterization of a leukotoxin gene from a *Pasteurella haemolytica*-like organism, encoding a new member of RTX family. *Infect. Immun.* 61: 2089-2095.
- Chang, Y. F., J. Shi, D. P. Ma, S. J. Shin, and D. H. Lein.** 1993. Molecular analysis of the *Actinobacillus pleuropneumoniae* RTX toxin-III gene cluster. *DNA. Cell Biol.* 12: 351-362.
- Chang, Y. F., T. L. Lauderdale, W. Y. Lee, S. J. Shin, R. H. Jacobson, M. J. Appel, and D. H. Lein.** 1993. Expression and secretion of outer surface protein (OspA) of *Borrelia burgdorferi* from *E. coli*. *FEMS Microbiol. Lett.* 109: 297-302.
- Frey, J., J. T. Bosse, Y. F. Chang, J.M. Cullen, B. Fenwick, G.F. Gerlach, D. Gygi, F. Haesebrouck, T. J. Inzana, R. Jansen, E. M. Kamp, J. Macdonald, J. I. MacInnes, K.R. Mittal, J. Nicolet, A.N. Rycroft, R.P.A.M. Segers, M.A. Smits, E. Stenbaek, D.K. Struck, J. F. Van Den Bosch, P. J. Wilson and R. Young.** 1993. *Actinobacillus pleuropneumoniae* RTX-toxins: Uniform designation of hemolysins, cytolysins, pleurotoxin and their genes. *J. Gen. Microbiol.* 139: 1723-1728.
- Chang, Y. F., M. J. Appel, R. H. Jacobson, S. J. Shin, P. Harpending, R. Straubinger, L. A. Patrican, H. Mohammed, and B. A. Summers.** 1995. Recombinant OspA protects dogs against infection and disease caused by *Borrelia burgdorferi*. *Infect. Immun.* 63: 3543-3549.
- Straubinger, R. K., Y. F. Chang, R. H. Jacobson, and M. J. G. Appel.** 1995. Protection against Lyme disease: Sera from vaccinated dogs, but not from tick infected dogs, inhibit the *in vitro* growth of *Borrelia burgdorferi*. *J. Clin. Microbiol.* 33: 2745-2751.
- Chang, Y. F., R. Straubinger, R. H. Jacobson, J. B. Kim, T. J. Kim, D. Kim, S. J. Shin, and M. J. G. Appel.** 1996. Dissemination of *Borrelia burgdorferi* after experimental infection in dogs. *J. Spiro. Tick-Borne Dis.* 3: 80-86.
- Straubinger, R. K., A. F. Straubinger, L. Harter, R. H. Jacobson, Y. F. Chang, B. A. Summers, H. N. Erb, and M. J. G. Appel.** 1997. *Borrelia burgdorferi* migration and

- proliferation causes up-regulation of interleukin-8 in synovial membranes of experimentally infected dogs. *Infect. Immun.* 65: 1273-1285.
- McDonough P. L., R. H. Jacobson, J. F. Timoney, A. Mutalib, D. C. Kradel, **Y. F. Chang**, S. J. Shin, D. H. Lein, S. Trock, and K. Wheeler. 1998. Interpretations of antibody responses to *Salmonella enterica* serotype Enteritidis gm flagellin in poultry flocks are enhanced by a Kinetics-based enzyme-linked immunosorbent assay. *Clin. Diagn. Lab. Immunol.* 5: 550-555.
- Chang, Y. F.**, V. Novosel, S. P. McDonough, R. H. Jacobson, C. F. Chang, T. Divers, F. W. Quimby, S. Shin, and D. H. Lein. 1999. Vaccination against Lyme disease with recombinant *Borrelia burgdorferi* outer surface protein A (OspA) in horses. *Vaccine* 18: 540-548.
- Chang, Y. F.**, S. P. McDonough, K.S. Shin, C. F. Chang, and T. Divers. 2000. Human granulocytic ehrlichiosis agent (HGE) infection in a pony vaccinated with recombinant OspA vaccine and challenged by exposure to naturally infected ticks. *Clin. Diag. Lab. Immunol.* 7: 68-71.
- Simpson, K.W., D. Strauss-Ayali, E. Scanziani, R. K. Straubinger, P. L. McDonough, A.F. Straubinger, **Y. F. Chang**, C. Domeneghini, N. Arebi, and J. Calm. 2000. *Helicobacter felis* infection is associated with lymphoid follicular hyperplasia and mild gastritis but normal gastric secretory function in cats. *Infect. Immun.* 68: 779-790.
- Simpson, K.W., D. Strauss-Ayali, E. Scanziani, R. K. Straubinger, P. L. McDonough, A. F. Straubinger, **Y. F. Chang**, M. Esteves, J. G. Fox, C. Domeneghini, N. Arebi, and J. Calam. 2001. Gastric secretory function in cats with *Helicobacter pylori* infection. *Helicobacter* 6: 1-14.
- Raghavan, P. U. M., **Y. F. Chang**, S. S. D. Jusuf, S. Artiushin, J. F. Timoney, S. P. McDonough, S. C. Barr, T. J. Divers, P. McDonough, K. W. Simpson, and H. Mohammed. 2002. Cloning and molecular characterization of an immunogenic LigA of *Leptospira interrogans*. *Infect. Immun.* 70:5924-5930.
- Dheenadhayalan, V., K. S. Shin, C. F. Chang, C. D. Chang, S. J. Wang, S. P. McDonough, P. L. McDonough, S. Shin, A. Torres, and **Y. F. Chang**. 2002. Cloning and characterization of the genes coding for antigen 85A, 85B and 85C of *Mycobacterium avium* subsp. paratuberculosis. *DNA Seq.* 13:287-294.
- Teng, C.H., R. U.M. Palaniappan, and **Y. F. Chang**. 2003. Cloning and characterization of an *Ehrlichia canis* gene encoding a protein localized to the morula membrane. *Infect. Immun.* 71:2218-2225.
- Hsu, Y.M., N. Chin, C. F. Chang, **Y. F. Chang**. 2003. Cloning and characterization of the *Actinobacillus pleuropneumoniae* fur gene and its role in regulation of ApxI and AFUABC expression. *DNA seq.* 14:169-181.
- Santos, L. R. D., S. M. Barrouin-Melo, **Y. F. Chang**, J. Olson, S. P. McDonough, F. Quimby, W. L. C. D. Santos, L. C. Pontes-de-Carvalho, G. G. Oliveria. 2003. Recombinant single-chain interleukin-12 induces interferon mRNA expression in peripheral blood mononuclear cells of dogs with visceral leishmaniasis. *Vet. Immun. Immunopathol.* 98:43-48.
- Palaniappan, R. U., **Y. F. Chang**, F. Hassan, S.P. McDonough, M. Pough, S.C. Barr, K. W. Simpson, H. O. Mohammed, S. Shin, P. McDonough, R. Zuerner, J. Qu, & B. Roe. 2004. Expression of leptospiral immunoglobulin-like protein from *Leptospiral interrogans* and evaluation of its diagnostic potential in kinetic enzyme linked

- immunosorbent assay. J. Med. Microbiol. 53: 975-84.
- Wang, Z., Z. Yuan, M. Matsumoto, U. R. Hengge and **Y. F. Chang**. 2005. Immune responses with DNA vaccines encoded different gene fragments of severe acute respiratory syndrome coronavirus in BALB/c mice. BioChem. BioPhy. Res. Com. 327:130-135.
- Palaniappan, R. U., **Y. F. Chang**, C. F. Chang, M. J. Pan, C. W. Yang, P. Harpending, S. P. McDonough, E. Dubovi, J. Qu, B. Roe and T. Divers. 2005. Evaluation of *lig*-based conventional and real time PCR for the detection of pathogenic leptospires. Mol. and Cell. Probes. 19:111-117.
- Hsieh, W.J., **Y.F. Chang**, C. S. Chen, and M. J. Pan. 2005. Omp52 is a growth-phase-regulated outer membrane protein of *Leptospira santarosai* serovar Shermani. FEMS Microbiol. Let. 243:339-345.
- Shin, S.J., C.F. Chang, C. C. Chang, S. P. McDonough, B. Thompson, H.S. Yoo, and **Y. F. Chang**. 2005. In vitro cellular immune response to recombinant antigens of *Mycobacterium avium* subsp. *paratuberculosis*. Infect. Immun. 5074-5085.
- Ku, Y.W., S. P. McDonough, R.U.M. Palaniappan, C. F. Chang, **Y. F. Chang**. 2005. Identification and characterization of *in vivo* attenuated mutants of *Salmonella enterica* serovar Choleraesuis using signature-tagged mutagenesis in a pig infection model. Infect. Immun. 73:8194-8203.
- Raghavan, P. U. M., S. P. McDonough, T. J. Divers, C. S. Chen, M.J. Pan, M. Matsumoto and **Y. F. Chang**. 2006. Immunoprotection of recombinant leptospiral immunoglobulin like A protein (LigA) against *Leptospira interrogans* serovar Pomona infection. Infect. Immun. 74:1745-1750.
- Palaniappan, R. U., Y. Zhang, D. Chiu, A. Torres, C. DebRoy, T. S. Whittam and **Y. F. Chang**. 2006. Differentiation of *Escherichia coli* pathotypes by oligonucleotide spotted array. J. Clin. Microbiol. 44:1495-1501.
- Palaniappan, R. U., S. Ramanujam and **Y. F. Chang**. 2006. Leptospirosis: Pathogenesis, Immunity, and Diagnosis. Current Opinion Infect. Dis. In press.
- Palaniappan, R. U., D. Chiu, H. He, P. Harpending and **Y. F. Chang**. 2006. Identification of immunogenic proteins from genome of *Leptospira interrogans*. Clin. Vaccine & immunol. Submitted.
- Chang, Y.F.**, C. S. Chen, R. U.M. Palaniappan, S. P. McDonough, W. Yang, M. J. Pan, and C. F. Chang. Immunogenicity and protection of the rtecombinant leptospiral immunogenic proteins as vaccine candidates. Infect. Immun. Submitted.
- Faisal, S.M., W. Yan, C. S. Chen, R. U.M. Palaniappan, S. P. McDonough, and **Y. F. Chang**. Evaluation of protective immunity of *Leptospira* immunoglobulin like protein A (LigA) DNA vaccine against challenge in hamsters. Infect. Immun. Submitted.
- Lin, Y.P. and **Y. F. Chang**. Molecular characterization of LigB, a Fibronectin-Binding Protein of *Leptospira*. Infect. Immun. Submitted.
- Kathaperumal K., S. U. Park, S. P. McDonough, S. Stehman, B. Akey, J. Huntley, S. Wong, L. H. Chen, C.-F. Chang and **Y. F. Chang**. Vaccination with recombinant *Mycobacterium avium* subsp. *paratuberculosis* proteins induces differential immune responses and protects calves against infection by oral challenge. Infect. Immun. Submitted.
- Chen, L. H., K. Kathaperumal, C. J. Huang, S. P. McDonough, S. Stehman, B. Akey, J. Huntley, C.F. Chang and **Y. F. Chang**. Immune responses in mice to *Mycobacterium avium* subsp. *paratuberculosis* following vaccination with a novel 74F recombinant polyprotien. Infect. Immun. Submitted.



Park, S. U., K. Kathaperumal, S. P. McDonough, S. Stehman, B. Akey, J. Huntley, and **Y. F. Chang**. Immunization with DNA Vaccine against Johne's disease in an experimental mouse model. Infect. Immun. Submitted.

Scaria, J., B. Raveendran, and **Y. F. Chang**. Horizontal gene transfer is a major force influencing codon usage variation in *M. avium ssp. paratuberculosis* and *M. smegmatis*. BMC Microbiol. Submitted.

Cui, Y., D. Luo, P. L. McDonough and **Y. F. Chang**. Simultaneous Detection of *Salmonella* spp. and *Campylobacter* spp. with Quantum Dots. Anal. Bioanal. Chem. Submitted.

Kumanan, V. S. R. Nugen, A. J. Baemner, and **Y. F. Chang**. A rapid biosensor assay for the detection *Mycobacterium avium* subsp. *paratuberculosis* from fecal samples. Anal. Bioanal. Chem. Submitted.

### C. Research Support

#### Current Research Support

Chang 7/01/06-06/30/07  
CAT

Bacterial protein microarrays for identification of new potential diagnostic markers and vaccine candidate for *Leptospira* spp. infection.

This grant focuses on the development of a serologic test/vaccine candidates for animal leptospirosis.

Chang 11/1/03-10/31/07  
BRDC

Paratuberculosis: Novel DNA vaccine with single chain bovine IL-12 adjuvant.

This grant focuses on the development of a DNA vaccine against bovine paratuberculosis.

Chang 11/1/03-10/31/07  
BRDC

Identification of *L. borgpetersenii* serovar Harjo virulence factors and vaccine development.

This grant focuses on the identification of leptospiral virulence factors and the development of a recombinant vaccine against animal leptospirosis.

Chang 4/1/03-3/31/07  
NIH (N01-A1-30054;ZC002-07)

Molecular diagnosis (microarray) of bacterial pathogens.

This grant focuses on the development a microarray test for food and water borne pathogens.

Chang 10/1/04-9/30/07.  
CUAES Hatch project.

Biosensor for rapid detection of *Mycobacterium avium* subsp. *paratuberculosis*.

This grant focuses on the development of a biosensor detection for Johne's disease.

Chang 10/1/05-9/30/08.

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CUAES Hatch project.

Development a live vaccine against bovine salmonellosis.

This grant focuses on the development of an attenuated *Salmonella* vaccine for cattle.

Chang 1/1/05-12-30/07.

Zweig.

Virulence factors of serovar Pomona and vaccine development.

This grant focuses on the identification of virulence factors of serovar Pomona.

Chang (Co-PI), Luo (PI) 1/1/06-12/30/07

NY State (NYSTAR)

DNA-based Nanobarcode Technology for Molecular Detections in Biology, Veterinary and Anti-bioterrorism Fields

This is a translational grant aiming at research and development for the commercialization of DNA Nanobarcode Technology.

Chang 12/1/03-11/30/09

NIH (N01-A1-30054;ZC007-09)

*C. difficile*: A comparative genomics and transcriptome study.

This grant focuses on a comparative genomics and transcriptome study of *C. difficile*.

## EXHIBIT 2

### Listing of Claims as Presently Amended in the Accompanying Amendment

1 – 46 (canceled).

47 (currently amended). An isolated recombinant DNA comprising a DNA selected from the group consisting of

- a) a recombinant DNA that encodes a protein having an amino acid sequence as shown in SEQ. ID. NO. 3 wherein the protein elicits an immune response against *E. canis*;
- b) a recombinant DNA that encodes a protein having an amino acid sequence as shown in SEQ. ID. NO. 5 wherein the protein elicits an immune response against *E. canis*;
- c) a recombinant DNA that encodes a protein having an amino acid sequence as shown in SEQ.ID. NO. 7 wherein the protein elicits an immune response against *E. canis*;
- d) a recombinant DNA that encodes a protein having an amino acid sequence as shown in SEQ. ID. NO. 9 wherein the protein elicits an immune response against *E. canis*; and
- e) a recombinant DNA that encodes a protein having an amino acid sequence as shown in SEQ. ID. NO. 11 wherein the protein elicits an immune response against *E. canis*.

48-68 (canceled).

69 (currently amended). A vector capable of expressing [[a]] an isolated recombinant DNA comprising the isolated recombinant DNA inserted into the vector such that a recombinant protein is expressed when the vector is provided in an appropriate host wherein the isolated recombinant DNA is selected from the group consisting of:

a) SEQ. ID. NO. 2, wherein SEQ. ID. NO. 2 encodes a protein having an amino acid sequence as shown in SEQ. ID. NO. 3 and wherein the protein elicits an immune response against *E. canis*;

b) SEQ. ID. NO. 4, wherein SEQ. ID. NO. 4 encodes a protein having an amino acid sequence as shown in SEQ. ID. NO. 5 and wherein the protein elicits an immune response against *E. canis*;

c) SEQ. ID. NO. 6, wherein SEQ. ID. NO. 6 encodes a protein having an amino acid sequence as shown in SEQ. ID. NO. 7 and wherein the protein elicits an immune response against *E. canis*;

d) SEQ. ID. NO. 8, wherein SEQ. ID. NO. 8 encodes a protein having an amino acid sequence as shown in SEQ. ID. NO. 9 and wherein the protein elicits an immune response against *E. canis*; and










e) SEQ. ID. NO. 10 wherein SEQ. ID. NO. 10 encodes a protein having an amino acid sequence as shown in SEQ. ID. NO. 11 and wherein the protein elicits an immune response against *E. canis*.


## EXHIBIT 3

## NCBI GenBank Listing for Sequence NC\_001709




NCBI Sequence Viewer v2.0

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         **My NCBI** [\[Sign In\]](#) [\[Register\]](#)

Search Nucleotide  for

Limits Preview/Index History Clipboard Details

Display GenBank  Show 5  Send to  Hide ☐ sequence ☐ all but gene, CDS and mRNA features

Range: from begin to end ☐ Reverse complemented strand Features:

☐ 1: [NC\\_001709](#) Reports *Drosophila melano...*[gi:5835233] [Links](#)

[Comment](#) [Features](#) [Sequence](#)

LOCUS NC\_001709 19517 bp DNA circular INV 10-AUG-2005

DEFINITION *Drosophila melanogaster* mitochondrion, complete genome.

ACCESSION NC\_001709

VERSION NC\_001709.1 GI:5835233

PROJECT GenomeProject:164

KEYWORDS .

SOURCE mitochondrion *Drosophila melanogaster* (fruit fly)

ORGANISM *Drosophila melanogaster*  
Eukaryota; Metazoa; Arthropoda; Hexapoda; Insecta; Pterygota;  
Neoptera; Endopterygota; Diptera; Brachycera; Muscomorpha;  
Ephydroidea; Drosophilidae; *Drosophila*.

REFERENCE 1 (bases 1 to 408; 13319 to 19517)  
AUTHORS Lewis,D.L., Farr,C.L. and Kaguni,L.S.  
TITLE *Drosophila melanogaster* mitochondrial DNA: completion of the  
nucleotide sequence and evolutionary comparisons  
JOURNAL Insect Mol. Biol. 4 (4), 263-278 (1995)  
PUBMED [8825764](#)

REFERENCE 2 (bases 14917 to 19517)  
AUTHORS Lewis,D.L., Farr,C.L., Farquhar,A.L. and Kaguni,L.S.  
TITLE Sequence, organization, and evolution of the A+T region of  
*Drosophila melanogaster* mitochondrial DNA  
JOURNAL Mol. Biol. Evol. 11 (3), 523-538 (1994)  
PUBMED [8015445](#)

REFERENCE 3 (bases 14215 to 14512)  
AUTHORS Ballard,J.W., Olsen,G.J., Faith,D.P., Odgers,W.A., Rowell,D.M. and  
Atkinson,P.W.  
TITLE Evidence from 12S ribosomal RNA sequences that onychophorans are  
modified arthropods  
JOURNAL Science 258 (5086), 1345-1348 (1992)  
PUBMED [1455227](#)

REFERENCE 4 (bases 441 to 2967)  
AUTHORS Satta,Y. and Takahata,N.  
TITLE Evolution of *Drosophila* mitochondrial DNA and the history of the  
*melanogaster* subgroup  
JOURNAL Proc. Natl. Acad. Sci. U.S.A. 87 (24), 9558-9562 (1990)  
PUBMED [2124697](#)

REFERENCE 5 (bases 5268 to 13619)  
AUTHORS Garesse,R.  
TITLE *Drosophila melanogaster* mitochondrial DNA: gene organization and  
evolutionary considerations  
JOURNAL Genetics 118 (4), 649-663 (1988)  
PUBMED [3130291](#)

REFERENCE 6 (bases 804 to 1778)  
AUTHORS Satta,Y., Ishiwa,H. and Chigusa,S.I.  
TITLE Analysis of nucleotide substitutions of mitochondrial DNAs in  
*Drosophila melanogaster* and its sibling species  
JOURNAL Mol. Biol. Evol. 4 (6), 638-650 (1987)  
PUBMED [2832697](#)

REFERENCE 7 (bases 404 to 5272)  
AUTHORS de Bruijn,M.H.

**TITLE** Drosophila melanogaster mitochondrial DNA, a novel organization and genetic code  
**JOURNAL** Nature 304 (5923), 234-241 (1983)  
**PUBMED** [6408489](#)  
**REFERENCE** 8 (bases 5269 to 5695)  
**AUTHORS** Clary,D.O., Wahleithner,J.A. and Wolstenholme,D.R.  
**TITLE** Transfer RNA genes in Drosophila mitochondrial DNA: related 5' flanking sequences and comparisons to mammalian mitochondrial tRNA genes  
**JOURNAL** Nucleic Acids Res. 11 (8), 2411-2425 (1983)  
**PUBMED** [6304652](#)  
**REFERENCE** 9 (bases 12511 to 12682)  
**AUTHORS** Clary,D.O., Goddard,J.M., Martin,S.C., Faure,C.M. and Wolstenholme,D.R.  
**TITLE** Drosophila mitochondrial DNA: a novel gene order  
**JOURNAL** Nucleic Acids Res. 10 (21), 6619-6637 (1982)  
**PUBMED** [6294611](#)  
**REFERENCE** 10 (bases 1 to 19517)  
**CONSRM** NCBI Genome Project  
**TITLE** Direct Submission  
**JOURNAL** Submitted (08-SEP-1999) National Center for Biotechnology Information, NIH, Bethesda, MD 20894, USA  
**REFERENCE** 11 (bases 1 to 19517)  
**AUTHORS** Lewis,D.L., Farr,C.L. and Kaguni,L.S.  
**TITLE** Direct Submission  
**JOURNAL** Submitted (03-OCT-1999) Laurie S. Kaguni, Biochemistry Department, Michigan State University, East Lansing, MI 48824-1319, USA  
**COMMENT** REVIEWED REFSEQ: This record has been curated by NCBI staff. The reference sequence was derived from [U37541](#).  
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LAGTMLKICGYGMLRVISFLQIMNIKYSFVWISISLVCGVIVSLVCLRQTDLKAIIAY
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Feb 20 2007 16:53:14

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## EXHIBIT 4

### NCBI GenBank Listing for Sequence AF219120

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NCBI Nucleotide

Search Nucleotide for

Display GenBank Show 5 Send to Hide: ☐ sequence ☐ all but gene, CDS and mRNA features

Range: from begin to end ☐ Reverse complemented strand Features:

☐ 1: AF219120 Reports Ehrlichia canis c...[gi:27462093]

Features Sequence

LOCUS AF219120 5300 bp DNA linear BCT 25-MAR-2003

DEFINITION Ehrlichia canis cytochrome C oxidase assembly protein, protease A, and protease B genes, complete cds; and unknown gene.

ACCESSION AF219120

VERSION AF219120.1 GI:27462093

KEYWORDS

SOURCE Ehrlichia canis

ORGANISM Ehrlichia canis

Bacteria; Proteobacteria; Alphaproteobacteria; Rickettsiales; Anaplasmataceae; Ehrlichia.

REFERENCE 1 (bases 1 to 5300)

AUTHORS Teng, C.H., Palaniappan, R.U.M. and Chang, Y.F.

TITLE Cloning and Characterization of an Ehrlichia canis Gene Encoding a Protein Localized to the Morula Membrane

JOURNAL Infect. Immun. 71 (4), 2218-2225 (2003)

PUBMED 12654844

REFERENCE 2 (bases 1 to 5300)

AUTHORS Chang, Y.-F. and Teng, C.-T.

TITLE Direct Submission

JOURNAL Submitted (26-DEC-1999) Population Medicine and Diagnostic Science, College of Veterinary Medicine, Cornell University, Uptown Road, Ithaca, NY 14853, USA

FEATURES

source Location/Qualifiers

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4/9/2007

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2221 gattaaaccg gcattttatta cctaattggag aatagttatg agaaacatat tgtgttacac
2281 attaatattg attttctttt catccaatc atatgcaaat gatctcaata ttaacataaa
```

<http://www.ncbi.nlm.nih.gov/entrez/viewer.fcgi?db=nucleotide&val=27462093>

4/9/2007

```
2341 agaagctaca actaassata aaatacacta tctatatgtt gaacatcata acctaccaac
2401 aatttcccta aaatttgcac tcaagaagac aggatacgtc tatgatgcct ttgataagca
2461 aggacttgca tactttacat caaaaatatt aaacgaagga tcaaaaacaa actatgctct
2521 cagttttgca caacaattag aaggcaaggg tatogactta aaatttgata tagacctaga
2581 caatttttat atatcattaa aaaccttacc agaaaacttt gaagaagccc tagttttact
2641 cagtgtttgc atattcaaca ccgtcacaga tcaagaataa ttcaatagaa taatagcaga
2701 acagattgca catgtttaat catttatatt tgcctctgaa tttatagcta caacagaaat
2761 gaatcacgct atattcaaaag ggcacccata tcttaacaaa gtttacggga cattaaatac
2821 aatcaataat atcaaccagg aagacgttgc attatatata aaaaatagtt ttgacaagga
2881 acaaatcggt atcagcgcag caggagatgt agatcccaaa cagctatcaa atttactaga
2941 taaatatatt ctttccaaat tgccatctgg taataacaaa aataccatac cagatcagac
3001 tgttaataga gaagacacat tatttatgtt acagagagat gtaccacaaa gtgtcataat
3061 gtttgctaca gacacagtac catatcacag caaagactat catgcataaa acttgttcaa
3121 tactatgcta ggcggattaa gtctcaattc aatattaatg atagaattaa gagacaagtt
3181 aggtattaaca taccatagta gcagttcact atctaacatg aatcatagta atgtgctatt
3241 tggtagaata ttactagata ataccacagt aacaaatgtt ataccgtctt taacagatat
3301 tatagagcac attaaaaagt atggagttga tgaagacact tttgcaattg caaaatctag
3361 tattaccaac tcttttattt tatctatgtt aaataacaaat aatgttagtg agatattgtt
3421 aagcttaca ttacacgac tagatccgag ttatatattt aaatacaatt cttnactcaa
3481 agcaataaca atagaagaag taaataaaat tgcgaagaaa attttatcta atgaattagt
3541 aataattgaa gttagaagaaa acaataacat aaatggcaaa caaatagatg ctaaaaaaca
3601 cataccttgg ttaagtatar aggttattgt atttactaca agtattctat taggttgtat
3661 taagtaagta taagttagct caatcaataa aaaaacattt aaccaaagtg tttagctctac
3721 cggagaagct tattataagc ttttaacctg ggataaatatg aagttttgct aatgttaagc
3781 aaaaatttag taatcacaaat atcaaatttt ctttacagga ttatattgtg acctaccata
3841 acaacttata tttagaaaaa gacaaacagat acacacatca alaaattatc actacaattc
3901 aattaataaa acantgagta tttttactta attatttaat tttatttttt aaaaataaat
3961 tacaatttta ctactcaat aaaagcagtt atactacca gtatttggatg gtatttaactg
4021 gagcaattac tacttaantag tatagtctgt gacaagccgc aatctgcggt tcttgacaaa
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4141 tcctagcaca agtatagga actttcagac ttttagaaga gctgctataa tcattgcaat
4201 gtttaggtta gctgcattct tgtttgctgc tgcctgctgc agtgatcgtt tccaaagatt
4261 gcaattaace aatccatttg taatagcagg aatggtttgc cttgcagttc ttttagttgc
4321 ttccctaaac gcagcattaa gtatatgctt aactaaaagt aagcaagtca cacacatgc
4381 tattagacat cgttttgatc agcagtcagc carttcttct tctgtactgc ttgcataatc
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4561 agcgttttct gttttcctac tctcattcgt aatgtatgct gcaagaacaa ttataagttc
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4681 agatcaagga atgaatattt tgtcagcagt actccagca gctggcattg acatcatgac
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4921 tttgccatta ttattactat acctaaaaat ataaaagaat ccgcccaggt gaatacagggc
4981 caatgtaagt tattgatata aaaaatctata aantcataga cagcaccata tcttattcta
5041 tctatgatat ttccatttga ccccccata atgattacaa gaggttaact ataatgtggc
5101 tgtactataa ataagtagca taaaaacaaa gtaatacaaa tccagatact acaaaaaca
5161 acattactat attcaaggtt atttaataa ccaaaactaa ttccagcatt ccacactgta
5221 gtaagcgca agaagcttaa tatctctatt acacctttat ctctatcaa atttactaca
5281 taccatttac ttacctgac
```

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Mar 26 2007 16:06:48

## EXHIBIT 5

### BLASTX Analysis of Lewis *et al.* Sequence

BLASTX 2.2.16 [Mar-11-2007]

<[http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=PubMed&cmd=Retrieve&list\\_uids=9254694&dopt=Citation](http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=PubMed&cmd=Retrieve&list_uids=9254694&dopt=Citation)>Reference:

Altschul, Stephen F., Thomas L. Madden, Alejandro A. Schäffer, Jinghui Zhang, Zheng Zhang, Webb Miller, and David J. Lipman (1997), "Gapped BLAST and PSI-BLAST: a new generation of protein database search programs", Nucleic Acids Res. 25:3389-3402.

RID: 1173814264-27220-33197384427.BLASTQ2

Database: All non-redundant GenBank CDS

translations+PDB+SwissProt+PIR+PRF excluding environmental samples  
4,736,044 sequences; 1,634,373,987 total letters

If you have any problems or questions with the results of this search please refer to the

<[http://www.ncbi.nlm.nih.gov/blast/blast\\_FAQs.html](http://www.ncbi.nlm.nih.gov/blast/blast_FAQs.html)>BLAST FAQs

<[http://www.ncbi.nlm.nih.gov/BLAST/Blast.cgi?CMD=Get&RID=1173814264-27220-33197384427.BLASTQ2&FORMAT\\_OBJECT=TaxBlast&NCBI\\_GI=on&DESCRIPTIONS=100&ALIGNMENTS=50&FORMAT\\_BLOCK\\_ON\\_RESPAGE=Top&MASK\\_COLOR=1&MASK\\_CHAR=2](http://www.ncbi.nlm.nih.gov/BLAST/Blast.cgi?CMD=Get&RID=1173814264-27220-33197384427.BLASTQ2&FORMAT_OBJECT=TaxBlast&NCBI_GI=on&DESCRIPTIONS=100&ALIGNMENTS=50&FORMAT_BLOCK_ON_RESPAGE=Top&MASK_COLOR=1&MASK_CHAR=2)>Taxonomy reports

Query=

Length=4624

No significant similarity found. For reasons why,

<[http://www.ncbi.nlm.nih.gov/blast/blast\\_FAQs.html#no%20hits](http://www.ncbi.nlm.nih.gov/blast/blast_FAQs.html#no%20hits)>click here.

Database: All non-redundant GenBank CDS

translations+PDB+SwissProt+PIR+PRF excluding environmental samples

Posted date: Mar 12, 2007 5:53 PM

Number of letters in database: 1,634,373,987



Number of sequences in database: 4,736,044  
Lambda K H  
0.318 0.134 0.401  
Gapped  
Lambda K H  
0.267 0.0410 0.140  
Matrix: BLOSUM62  
Gap Penalties: Existence: 11, Extension: 1  
Number of Sequences: 4736044  
Number of Hits to DB: 78697997  
Number of extensions: 633651  
Number of successful extensions: 1607  
Number of sequences better than 10: 0  
Number of HSP's better than 10 without gapping: 0  
Number of HSP's gapped: 1605  
Number of HSP's successfully gapped: 0  
Length of query: 4624  
Length of database: 1634373987  
Length adjustment: 145  
Effective length of query: 4479  
Effective length of database: 947647607  
Effective search space: 1322916059372  
Effective search space used: 1322916059372  
T: 12  
A: 40  
X1: 16 (7.3 bits)  
X2: 38 (14.6 bits)  
X3: 64 (24.7 bits)  
S1: 41 (20.4 bits)  
S2: 84 (37.0 bits)